

# **PATENT APPLICATION**

## **METHODS AND APPARATUS FOR USE IN AIDING STACK UNWINDING**

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## CROSS-REFERENCE TO RELATED APPLICATIONS

10 This application claims priority of U.S. Provisional Application  
No. 60/291,420, entitled "Methods and Apparatus for Use in Aiding  
Stack Unwinding," filed on May 16, 2001, which is hereby  
incorporated by reference for all purposes.

## BACKGROUND OF THE INVENTION

### 1. FIELD OF THE INVENTION

15 The present invention relates generally to computer software. More  
particularly, the present invention relates to methods and apparatus for  
providing a mechanism for use in aiding stack unwinding.

### 2. DESCRIPTION OF THE RELATED ART

20 A conventional software process from the compilation through the  
debugging process is illustrated in FIG. 1. As shown at block 102, source  
code is received by a compiler 102. The compiler 102 processes statements  
written in a particular programming language. The compiler 102 may either  
produce machine-readable code (e.g., object code) or assembly code for input  
25 to an assembler 104. Of course, it is possible for a human to generate  
assembly code directly without the assistance of a compiler.

When the assembler 104 receives the assembly code, it converts the assembly code into object code. One or more object code files may then be linked by a linker 107 to generate an executable file 108. The executable file 108 may then be loaded into memory and run, or the executable file 108 may be debugged using a debugger 106. For instance, a debugger may enable a computer programmer to step through the object code or perform “call tracing.” When the object code is ultimately executed, an exception handler 108 may be called during execution of the object code when an exception trap occurs.

During execution of the object code, a run time stack is used to store data for the called functions. More particularly, a stack is a push-down list. In other words, when a new function is called, data for that function are pushed onto the stack, pushing down the stack entries. Conversely, when a program accesses an item from a stack, the program always takes its next item to handle from the top of the stack. Thus, function data are pushed onto the stack, and popped from the stack as necessary.

In order to perform various processes, such as debugging (e.g., call tracing) and exception handling, the stack must be at least partially “unwound.” In other words, data of a previous function in the call chain on the stack may need to be recalled. For example, exception handling or call tracing (e.g., back tracing) must perform some stack unwinding to access data that were previously pushed onto the stack.

In view of the above, there is a need to devise methods and apparatus for providing a human readable mechanism for enabling easy access to the



## SUMMARY

5           The present invention enables a compiler or an assembly language  
programmer to provide human readable indicators in an assembly file to  
indicate the status of function data during stack unwinding. This is  
accomplished, in part, through the generation of assembler directives. These  
assembler directives may be interpreted by its corresponding assembler to  
10   produce unwind information.

          In accordance with one aspect of the invention, a method of generating  
assembly code for stack unwinding is disclosed. One or more source code  
lines are obtained. Assembly code for the one or more source code lines is  
then generated. The assembly code includes one or more stack unwind  
15   assembler directives having one or more associated stack unwind sub  
directives. Each of the stack unwind assembler directives is adapted for  
indicating to an assembler to record the stack activity as specified by the stack  
unwind sub-directives. The recorded information will be used later by a stack  
unwinder to perform stack unwinding. In other words, the stack unwind sub  
20   directives may indicate one or more stack operations that were previously  
performed that require reversal. Alternatively, the stack unwind sub directives  
may indicate one or more stack unwind operations to be performed such that  
the stack is “unwound.”

          Once the assembly code is generated, an assembler may interpret the  
25   unwind directives and save the function data in an encoded data section for

use by a stack unwinder. In this manner, data previously pushed onto the stack may be recalled. Thus, through the use of assembler directives and sub directives, a compiler writer and assembly programmer need not be knowledgeable about the details of the encoded unwind information. Thus,  
5 only the assembler writer needs to be knowledgeable with respect to the details of the stack unwind object code encoding.

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## **BRIEF DESCRIPTION OF THE DRAWINGS**

The invention, together with further advantages thereof, may best be understood by reference to the following description taken in conjunction with the following figures:

5           FIG. 1 is a process flow diagram illustrating a conventional software process.

FIG. 2 is a process flow diagram illustrating a method of compiling source code to generate assembly code including stack unwind assembler directives in accordance with one embodiment of the invention.

10           FIG. 3 is a process flow diagram illustrating a method of generating assembly code including stack unwind assembler directives as shown at block 204 of FIG. 2 in accordance with one embodiment of the invention.

FIG. 4 is a process flow diagram illustrating a method of assembling assembly code such as that generated according to the method illustrated in

15           FIG. 2 to produce encoded data sections within the object file containing stack information for performing stack unwinding by a stack unwinder.

FIG. 5 is a block diagram illustrating a typical, general-purpose computer system suitable for implementing the present invention.

**DETAILED DESCRIPTION OF THE PREFERRED**  
**EMBODIMENTS**

In the following description, numerous specific details are set forth in  
5 order to provide a thorough understanding of the present invention. It will be  
apparent, however, to one skilled in the art, that the present invention may be  
practiced without some or all of these specific details. In other instances, well  
known process steps have not been described in detail in order not to  
unnecessarily obscure the present invention.

10 The present invention enables assembler directives for performing  
stack unwinding to be generated. More particularly, stack unwinding involves  
reversing one or more previously performed stack operations. Through the  
use of such assembler directives, a compiler writer and assembly programmer  
need not be knowledgeable about the details of the encoded stack information  
15 for unwinding. Thus, only the assembler writer needs to be knowledgeable  
with respect to the details of the stack unwind object code encoding. The  
present invention may be applicable in concept to a variety of assemblers  
including, but not limited to, the IA64 Assembler and IA64 object code.

FIG. 2 is a process flow diagram illustrating a method of compiling  
20 source code to generate assembly code including stack unwind assembler  
directives in accordance with one embodiment of the invention. In accordance



with the present invention, the compiler obtains a source code line at block 202 and generates assembly code including stack unwind assembler directives at block 204. The process continues for remaining source code lines as shown at block 206.

5           In order to generate assembly code, the compiler obtains information from the source code line. The information obtained from the source code line will vary with the operation (e.g., function) to be performed. This information obtained from the source code line is then used to generate an assembler stack unwind directive, as appropriate. More specifically, in accordance with one  
10           embodiment, a stack unwind directive (e.g., “.unwindinfo”) is generated to indicate that the assembler directive is a stack unwind directive. In other words, the use of a stack unwind indicator (e.g., “.unwindinfo”) indicates to an assembler that stack data is to be generated from an associated stack unwind subdirective. More specifically, an appropriate stack unwind subdirective  
15           (e.g., function name) indicating the specific stack unwind subdirective (e.g., operation to be performed) is generated. The sub directive may indicate an operation that is to be performed to “unwind” the stack. Alternatively, the sub directive may indicate an operation previously performed on the stack that is to be reversed or “unwound.” An assembler receiving this stack unwind  
20           subdirective may then generate the appropriate encodings in a special data section to be used by a stack unwinder.

FIG. 3 is a process flow diagram illustrating a method of generating assembly code including stack unwind assembler directives as shown at block 204 of FIG. 2 in accordance with one embodiment of the invention. As

shown, at block 302 a stack unwind directive or indicator (e.g.,  
“.unwindinfo”) is generated to indicate that the assembler directive is a stack  
unwind directive. Next, at block 304 an appropriate stack unwind  
subdirective is generated corresponding to the appropriate stack unwind  
5 operation to be performed.

Illustrations of pseudo-code and associated stack unwind subdirectives  
will be described in further detail below.

### **Pseudo code for stack unwind directive and subdirectives**

In order to illustrate how stack unwind sub directives are composed  
and generated during compilation, exemplary pseudo code is illustrated below.  
This pseudo code generally illustrates in a simplified format various types of  
unwind sub directives that can be generated. In addition, the pseudo code  
15 illustrates various exemplary categories of sub directives as well as specific  
sub directives that may be implemented through the application of the present  
invention.

As will be described below, non-terminal pseudo-ops (pseudo-ops  
defined by further pseudo-ops) are designated by upper case letters while  
20 terminal pseudo-ops are designated by bold face, lower case letters. Optional  
pseudo-ops are designated by brackets []. Choices are separated by “|”.  
Descriptive material is enclosed with < >.

PSEUDO\_OP :: **.unwindinfo** SUB OPS

SUB OPS :: REGION\_OP | SP\_OP | SAVE\_OP | SPILL\_OP

REGION\_OP :: P\_REGION | B\_REGION  
 P\_REGION :: **prologue** [ <funct\_name> SHORT\_FORM ] **begin** |  
                   **prologue end** [ LABEL\_MARKING ]  
 5       SHORT\_FORM :: **mask**= < 0 to 15 > REG  
  
       LABEL\_MARKING :: **label\_state**=NUM | **copy\_state**=NUM  
  
 10     B\_REGION :: **body** BRACKET  
       BRACKET :: **begin** |  
                   **end** **ecount**=NUM [LABEL\_MARKING]  
  
       SP\_OP :: FIXED\_STACK | VARIABLE\_STACK  
 15     FIXED\_STACK :: **sp\_set** **size**=NUM  
       VARIABLE\_STACK :: **sp\_save** REG | **sp\_restore**  
  
       SAVE\_OP :: **save** FROM\_REG INTO  
       FROM\_REG :: **psp** | **pfs** | **rp** | **preds** | **unat** | **lc** | **fp** | **sr** | **priunat** | **bsp** |  
 20                   **bspstore** | **rnat**  
       INTO :: REG | **pspoff**=NUM | **spoff**=NUM  
  
       SPILL\_OP :: SPILL\_BASE\_OP | SPILL\_TO\_MEM | SPILL\_TO\_REGS  
       SPILL\_BASE\_OP :: **spill** **spillbase**=NUM  
 25     SPILL\_TO\_MEM :: **spill** SOURCE\_REG\_1  
       SOURCE\_REG\_1 :: **bNUM** | **fNUM** | **rNUM**  
       SPILL\_TO\_REGS :: **spill** MASKS REG  
       MASKS :: **gmask**= < 0 TO 15 > | **bmask**= < 0 to 31 >  
  
 30     NUM:: < number greater than or equal to zero >  
       REG:: **rNUM** | < register aliases as accepted by the assembler >

As shown above, the general format for a stack unwind sub directive  
 enables four different categories of SUB\_OPS set forth above to be used as a  
 35   sub-directive in combination with the stack unwind directive (e.g.,  
       “**.unwindinfo**”). More specifically, the four categories of SUB\_OPS include  
       REGION\_OP indicating a region operation, SP\_OP indicating a stack pointer  
       operation, SAVE\_OP indicating a save operation, and SPILL\_OP indicating a  
       spill operation.

First, the REGION\_OP category sub directive designates (e.g., via label marking) different portions of functions (e.g., procedures or methods). In accordance with one embodiment of the invention, these portions include a prologue and a body. Thus, the REGION\_OP may be a P\_REGION  
5 designating a prologue region (e.g., via **prologue...begin** and **prologue end** statements) or a B\_REGION designating a body region.

The prologue region prepares a function for stack operations that will be performed during execution of the function. Thus, the P\_REGION sub directive designates the prologue of a function. The prologue may include a  
10 function name as well as a label associated with the prologue. More particularly, LABEL\_MARKING may be used with the sub directive to mark nested functions within the sub directive. For example, a “**label\_state**” indicator labels the current state for future reference. For instance, such an indicator may be used with a number to correspond to a nested function. As  
15 another example, a “**copy\_state**” indicator indicates the state of a previously labeled body region to be copied to this body region. In this manner, a label is used to indicate the end of a section (e.g., body or prologue).

In addition, within the P\_REGION subdirective, specific data and instructions may be pushed onto the stack or saved in one or more registers.  
20 As shown, SHORT\_FORM designates a mask or bit vector indicating which registers have been saved. More specifically, a number from 0 to 15 is used to designate a bit vector, where each bit is associated with a different register. Through the specification of this bit vector, each bit indicates whether the associated register has been saved or not saved prior to the function call. For

example, a 0 may be used to indicate that the register has not been saved, while a 1 may be used to indicate that the register has been saved. In this manner, encoding by the assembler from the stack unwind directives is optimized.

5           The body region includes operations that will be performed during execution of the function. Thus, the B\_REGION sub directive indicates the begin and end of the body through LABEL \_MARKING, as described above with respect to the prologue region. In addition, **end ecount** indicates the number of nested regions to be cleared (e.g., generated) in the epilogue code.

10           Second, the SP\_OP category sub directive is used to indicate whether the stack is fixed or variable. In other words, the stack pointer operation may be used to indicate whether a function being called has a fixed number of arguments or a variable number of arguments. In this manner, a debugger ultimately accessing the stack may ascertain the number of arguments  
15 associated with a particular function. More particularly, a FIXED\_STACK sub directive may be used to indicate the size of the stack (e.g., “**sp set size**”) which indicates the number of entries in the stack. Similarly, a VARIABLE\_STACK sub directive may be used to indicate that the size of the stack is variable. More specifically, the stack unwind sub directive indicates  
20 that the stack pointer is saved in a register such as prior to a function call (e.g., **sp\_save REG**) or restored (e.g., **sp\_restore**)

          Third, the SAVE\_OP category sub directive is used to indicate a source register or source memory location from which contents are to be obtained and a destination register or destination memory location to which

the obtained contents (e.g., date) are to be saved or stored. One format that may be used is indicated by the pseudo-code “**save FROM\_REG INTO**”. As shown, data may be obtained from various registers such as IA64 specific registers listed. These registers include **psp, pfs, rp, preds, unat, lc, fpsr,**

5 **priunat, bsp, bspstore, and rnat.** The data obtained from one of these registers may then be saved into a register or memory location. The memory location may be specified or indicated through an offset, which may be a previous stack pointer offset (e.g., **pspoff**) or a stack pointer offset (e.g., **spoff**). In this manner, a displacement with reference to a memory stack may  
10 be specified.

Fourth, the SPILL\_OP category sub directive is used to indicate a memory location or register to which information from a register is to be spilled. More particularly, similar to the SAVE\_OP category sub directive, spilling is often performed to prevent information in a register from being  
15 overwritten (e.g., between function calls). For example spilling may be performed for special function, such as a variable argument function. In accordance with one embodiment of the invention, spilling may be performed for one or more registers simultaneously. More specifically, the memory location may be indicated relative to a stack pointer (SP) or previous stack  
20 pointer (PSP). As shown, SPILL\_BASE\_OP (e.g., **spill spillbase= NUM**) identifies a PSP relative offset to be used as the starting memory location for subsequent SPILL\_TO\_MEM operations. SPILL\_TO\_MEM enables spilling from a register to be performed from a register of type branch (e.g., **bNUM**), type floating point (e.g., **fNUM**), or general purpose (e.g., **rNUM**).

SPILL\_TO\_REGS spills registers identified in a mask to registers starting from the specified register.

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### **Exemplary stack unwind directive and subdirectives**

The following are exemplary stack unwind directives followed by exemplary stack unwind sub directives.

10

#### **.unwindinfo prologue func\_name begin**

This sub directive designates the beginning of the prologue of function “func\_name”.

#### **15 .unwindinfo prologue end label\_state = 1**

This sub directive designates the end of the prologue for the function associated with label\_state = 1. In other words, this may designate the end of the prologue of a specific function within a set of nested functions.

#### **20 .unwindinfo body begin**

This sub directive designates the beginning of the body of a function.

#### **.unwindinfo sp\_set size=10**

This sub directive is used with a stack that is “fixed” rather than “variable”. More particularly, this sub directive indicates that the size of the stack (i.e., number of entries in the stack) is 10.

5    **.unwindinfo sp\_save r34**

This sub directive is used with a stack that is “variable” rather than “fixed”. More particularly, this sub directive is used to save the stack pointer to register r34.

10   **.unwindinfo sp\_restore**

This sub directive is used with a stack that is “variable” rather than “fixed”. More particularly, this sub directive is used to restore the stack pointer.

15   **.unwindinfo save pfs r41**

This sub directive is used to save the contents of the register “pfs” to register r41.

**.unwindinfo spill spillbase=4**

20       This sub directive is used to indicate that spilling is to be performed to a memory location specified by a relative offset of 4 to the previous stack pointer.

**.unwindinfo spill f29**



This sub directive is used to indicate that spilling is to be performed from register f29, which is a floating point register.

Once assembler directives and sub directives are generated (e.g., by a compiler), an assembler can generate one or more encoded data sections in the object file that contain stack information to be used for stack unwinding. FIG. 4 is a process flow diagram illustrating a method of assembling assembly code such as that generated according to the method illustrated in FIG. 2 to produce an object file that contains encoded data sections for use by a stack unwinder. As shown at block 402, an assembler directive such as that generated according to the method described above with reference to FIG. 2 is obtained. Object code and encoded data sections for the assembler directive are then generated at block 404.

Through the use of the present invention, assembler directives may be generated by a compiler. In this manner, an assembler may be directed to generate object code with encoded data sections to be used by a stack unwinder. Since the assembler directives are in human readable format, an assembly programmer may easily record assembly instructions for performing stack unwinding. Similarly, a compiler may generate assembly code without requiring detailed information regarding the encoded data sections required to perform stack unwinding.

The present invention may be implemented on any suitable computer system. FIG. 5 is a block diagram illustrating a typical, general-purpose

computer system suitable for implementing the present invention. Computer system 1030 or, more specifically, CPUs 1032, may be arranged to support a virtual machine, as will be appreciated by those skilled in the art. The computer system 1002 includes any number of processors 1004 (also referred to as central processing units, or CPUs) that may be coupled to memory devices including primary storage device 1006 (typically a read only memory, or ROM) and primary storage device 1008 (typically a random access memory, or RAM). As is well known in the art, ROM acts to transfer data and instructions uni-directionally to the CPUs 1004, while RAM is used typically to transfer data and instructions in a bi-directional manner. Both the primary storage devices 1006, 1008 may include any suitable computer-readable media. The CPUs 1004 may generally include any number of processors.

A secondary storage medium 1010, which is typically a mass memory device, may also be coupled bi-directionally to CPUs 1004 and provides additional data storage capacity. The mass memory device 1010 is a computer-readable medium that may be used to store programs including computer code, data, and the like. Typically, the mass memory device 1010 is a storage medium such as a hard disk which is generally slower than primary storage devices 1006, 1008.

The CPUs 1004 may also be coupled to one or more input/output devices 1012 that may include, but are not limited to, devices such as video monitors, track balls, mice, keyboards, microphones, touch-sensitive displays, transducer card readers, magnetic or paper tape readers, tablets, styluses, voice

or handwriting recognizers, or other well-known input devices such as, of course, other computers. Finally, the CPUs 1004 optionally may be coupled to a computer or telecommunications network, *e.g.*, an internet network or an intranet network, using a network connection as shown generally at 1014.

5 With such a network connection, it is contemplated that the CPUs 1004 might receive information from the network, or might output information to the network in the course of performing the above-described method steps. Such information, which is often represented as a sequence of instructions to be executed using the CPUs 1004, may be received from and outputted to the  
10 network, for example, in the form of a computer data signal embodied in a carrier wave.

Although illustrative embodiments and applications of this invention are shown and described herein, many variations and modifications are possible which remain within the concept, scope, and spirit of the invention,  
15 and these variations would become clear to those of ordinary skill in the art after perusal of this application. For instance, the present invention is described as being applicable to systems in which stack unwind functionality is provided through the generation of assembly code including stack unwind assembler directives such as those described above. However, the above-  
20 described assembler directives are illustrative only. Therefore, it should be understood that other assembler directives may be implemented. Thus, different sub directives and categories of sub directives may be implemented. In addition, it is important to note that the categories of sub directives are merely illustrative and are provided solely to illustrate the type of sub

